

# Q2 & Q3 Internal Audit Status Update

PRESENTED BY

**Sarah MacGregor**

Internal Audit

September 26, 2017

# Internal Auditor in disguise?



*The City of*  
**BARRIE**

# Q2/Q3 Internal Audit Activities

Project	Focus	Status
Stores	Process review	Complete
Cash handling	Process review: Service Barrie	Complete
Entity level controls	Consulting: Code of Conduct	Complete
Entity level controls	Consulting: Fraud & Wrongdoing Program (Whistleblower hotline)	Complete
Cash handling	Process reviews: Legislative and Court Services, Mady Centre	In progress
Use of consultants	Value for money	In progress

# Stores



# Stores

- Assess efficiency and effectiveness of operations
- Scope
  - Tour of Stores facilities
  - Meetings with staff
  - Review of City records
  - Observation of operations

# Stores

Area	# Recommendations
Operations	4
Financial reporting	2
Physical security	1
Policies and procedures	2
<b>Total</b>	<b>9</b>

# Cash Handling – Service Barrie



# Cash Handling – Service Barrie

- Scope
  - Tour of Service Barrie
  - Meetings with staff
  - Observation of operations
  - Review of City records
- Part of broader corporate wide cash handling review

# Cash Handling – Service Barrie

Area	# Recommendations
Operations	2
Physical security	2
Policies and procedures	1
<b>Total</b>	<b>5</b>

# Code of Conduct



# Code of Conduct

- Updated policy
- Code of Conduct Handbook
- Plain English/FAQs
- Annual acknowledgment of compliance



# Fraud & Wrongdoing Program



# Fraud & Wrongdoing Program

- Staff currently can report concerns to their departmental manager, HR or IA
- New ability to report with anonymity to an **independent third party operator** (Clearview Connects)
  - Report by phone, website or PO Box
- Protection from retaliation for staff who report

# All in a day's work...



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